



DAMANI FINANCIAL SERVICES PVT. LTD.

Member: Bombay Stock Exchange Ltd. (BSE)

& National Stock Exchange of India Ltd. (NSE)

Moti Mahal, 7th Floor, 195, J. Tata Road, Churchgate, Mumbai - 400 020.

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Action Taken Report (ATR)

Name of Research Analyst:	Damani Financial Services Pvt Ltd
SEBI Registration No.:	INH000006712
BSE Enlistment No.:	5322
Entity Type:	RA
Financial Year:	2024-2025

Sr. No	Regulation	Particulars	Compliance Status	Reason for non-compliance	Auditor Comments Accepted (Yes/No)	Action taken on adverse findings
1	SEBI Master circular Ref. No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2024/48 (Dated May 21, 2024) Clause VI (3) And SEBI/HO/MIRSD/ MIRSD-PoD-1/P/CIR/2025/004 (Dated January 08, 2025) Clause 2 (iv)(e-e)	To conduct annual audit and submit a report and adverse findings, if Any Whether R A has conducted an annual compliance audit in respect of compliance with the R A regulations and circulars issued thereunder from a member of Institute of Chartered Accountants of India or Institute of Company Secretaries of India or Institute of Cost Accountants of India within six months from the end of each financial year. Submit a report of the same and adverse findings of the audit firm, along with action taken thereon, approved by the individual R A management of the non-individual R A within a period of one month from the date of the audit report but not later than October 31st of each year for the previous financial year.	Not-Complied	Damani Financial Services Pvt Ltd has not conducted audit annual compliance audit for the financial year 202324	Yes	We are in process for conducting annual compliance audit for financial year 202324

For Damani Financial Services Private Limited

Director/Authorised Signatory



2	SEBI circular Ref. No. SEBI/HO/MIRSD/ MIRSD-PoD-1/P/CIR/2025/004 dated January 08, 2025 – point no. 2(xi)	Guidelines for recommendation of 'model portfolio' by RAs Whether research analyst or research entity engaged in providing model portfolio has abided by the guidelines issued by the SEBI from time to time?	Not-Complied	Damani Financial Services Pvt Ltd is not engaged in providing model portfolio	Yes	By mistake Not complied is mentioned The auditor commented as Not Applicable as we are not engaged in providing model portfolio
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For Damani Financial Services Private Limited



ABHINAV RAMESH DAMANI

Signature of RA / Partner / Director:

Date and Place: 31/10/2025 ,Mumbai

